

10/501718

2100 Pennsylvania Avenue, NW
Washington, DC 20037-3213

T 202.293.7060
F 202.293.7860

www.sughrue.com



DT04 Rec'd PCT/PTO 06 MAY 2004

Paul F. Neils
T (202) 663-7367
pneils@sughrue.com

October 24, 2001

BOX PCT

Commissioner for Patents
Washington, D.C. 20231

PCT/FR00/00916
-filed April 11, 2000

Re: Application of Patrick CHOLLET
DEVICE FOR TREATING A CONTAINER WITH MICROWAVE PLASMA
Assignee: SIDEL ACTIS SERVICES
Our Reference: Q66643

FILED

OCT 24 2001

Dear Sir:

The following documents and fees are submitted herewith in connection with the above application for the purpose of entering the National stage under 35 U.S.C. § 371 and in accordance with Chapter II of the Patent Cooperation Treaty:

- ☐ an executed Declaration and Power of Attorney.
- ☒ an English translation of the International Application.
- ☒ 1 sheets of drawings.
- ☐ an English translation of Article 19 claim amendments.
- ☐ an English translation of Article 34 amendments (annexes to the IPER).
- ☐ an executed Assignment and PTO 1595 form.
- ☒ a copy of the ISR and a Form PTO-1449 listing the ISR references.
- ☒ a Preliminary Amendment

The Declaration and Power of Attorney, Assignment, will be submitted at a later date.

It is assumed that copies of the International Application, the International Search Report, the International Preliminary Examination Report, and any Articles 19 and 34 amendments as required by § 371(c) will be supplied directly by the International Bureau, but if further copies are needed, the undersigned can easily provide them upon request.



10/501718/
DT04 Rec'd PCT/PTO 06 MAY 2001

BOX PCT
Commissioner for Patents
October 24, 2001
Page 2

PCT/FR00/00916

The Government filing fee is calculated as follows:

Total claims	<u>13</u>	-	20	=		x	\$18.00	=	<u>\$0.00</u>
Independent claims	<u>1</u>	-	3	=		x	\$84.00	=	<u>\$0.00</u>
Base Fee									<u>\$890.00</u>
TOTAL FEE									<u>\$890.00</u>

A check for the statutory filing fee of \$890.00 is attached. You are also directed and authorized to charge or credit any difference or overpayment to Deposit Account No. 19-4880. The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.492 which may be required during the entire pendency of the application to Deposit Account No. 19-4880. A duplicate copy of this transmittal letter is attached.

Priority is claimed from April 29, 1999, based on French Application No. 99/06178.

Respectfully submitted,

Paul F. Neils
Registration No. 33,102

SUGHRUE MION, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

Date: October 24, 2001

FILING RECEIPT
PLEASE DATE STAMP AND RETURN TO US - BOX 235X

10/5017/18
DT04 Rec'd PCT/PTO 06 MAY 2004

In re application of

Patrick CHOLLET

Appln. No. 09/959,393

Group Art Unit: To be assigned

Confirmation No.: To be assigned

Examiner: To be assigned

Filed: October 24, 2001

For: DEVICE FOR TREATING A CONTAINER WITH MICROWAVE PLASMA

PAPER(S) FILED ENTITLED:

1. Submission of Executed Declaration (in duplicate) with executed Declaration and Power of Attorney, and Check No. 189218 in the amount of \$130.00.
2. Executed Assignment with PTO Form 1595 and Check No. 189219 in the amount of \$40.00

SUGHRUE MION, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Facsimile: (202) 293-7860


DOCKET NO.: Q66643
ATTORNEY/SEC: PFN/cjb

Date Filed: January 7, 2002



INVOICE DATE	INVOICE NO.		GROSS		NET INVOICE
01/05/02	OPER: CJB 124001 Q66643				40.00
CHECK NO.	CHECK DATE		TOTAL GROSS		NET CHECK
189219	01/07/02	10456			40.00

COMMISSIONER OF PATENTS

 **Sughrue**
SUGHRUE MION, PLLC
 2100 PENNSYLVANIA AVENUE, N.W.
 WASHINGTON, D.C. 20037-3213

DATE
 01/07/02

65-270
 550

189219
SUNTRUST
 SunTrust Bank

****FORTY AND 00/100 DOLLARS****
 PAY TO THE ORDER OF

COMMISSIONER OF PATENTS
 AND TRADEMARKS

AMOUNT
****40.00***

NON-NEGOTIABLE

Cynthia C. Walker

⑈189219⑈ ⑆055002707⑆ 206548885⑈

INVOICE DATE	INVOICE NO.	GROSS	NET INVOICE
01/05/02	OPER: CJB 124001 066643		130.00
CHECK NO.	CHECK DATE	TOTAL GROSS	NET CHECK
189218	01/07/02		130.00



Sughrue

SUGHRUE MION, PLLC
2100 PENNSYLVANIA AVENUE, N.W.
WASHINGTON, D.C. 20037-3213

DATE
01/07/02

65-270
550

189218
SUNTRUST
SunTrust Bank

****ONE HUNDRED THIRTY AND 00/100 DOLLARS****

PAY TO THE ORDER OF

COMMISSIONER OF PATENTS
AND TRADEMARKS

AMOUNT
**130.00*

NON-NEGOTIABLE

Cynthia C. Walker

⑈ 189218 ⑆ 055002707⑆ 206548885⑈

10/501718

DT04 Rec'd PCT/PTO 06 MAY 2004

INVOICE DATE			INVOICE NO.		GROSS	NET INVOICE
10/24/01			OPER: LK6 124001 066643			890.00
CHECK NO.			CHECK DATE		TOTAL GROSS	NET CHECK
184411			10/24/01 10456		COMMISSIONER OF PATENTS	890.00

SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC — PTO ACCOUNT
2100 PENNSYLVANIA AVENUE, N.W., WASHINGTON, D.C. 20037-3202

DATE
10/24/01

65-270
550

184411
SUNTRUST
SunTrust Bank

EIGHT HUNDRED NINETY AND 00/100 DOLLARS
PAY TO THE ORDER OF
COMMISSIONER OF PATENTS
AND TRADEMARKS

AMOUNT
**\$890.00*

NON-NEGOTIABLE

Cynthia C. Walker

⑈184411⑈ ⑆055002707⑆ 206548885⑈

10/501718
DT04 Rec'd PCT/PTO 06 MAY 2004

10/50171850

FILING RECEIPT
PLEASE DATE STAMP AND RETURN TO US - BOX 235X

DT04 Rec'd PCT/PTO 06 MAY 2004

In re application of

Patrick CHOLLET

Appln. No.: 09/959,393

Group Art Unit: To be assigned

Confirmation No.: To be assigned

Examiner: To be assigned

Filed: October 24, 2001

For: DEVICE FOR TREATING A CONTAINER WITH MICROWAVE PLASMA

PAPER(S) FILED ENTITLED:

1. STATUS INQUIRY



SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

DOCKET NO.: Q66643
ATTORNEY/SEC: PFN/tlb
Date Filed: October 28, 2003

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

10/501718
DT04 Rec'd PCT/PTO 06 MAY 2004
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q66643

Patrick CHOLLET

Appln. No.: 09/959,393

Group Art Unit: To be assigned

Confirmation No.: To be assigned

Examiner: To be assigned

Filed: October 24, 2001

For: DEVICE FOR TREATING A CONTAINER WITH MICROWAVE PLASMA

STATUS INQUIRY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

FILED
OCT 28 2003

Sir:

The undersigned respectfully requests to be advised of the status of the above-identified application.

Respectfully submitted,



Paul F. Neils
Registration No. 33,102

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: October 28, 2003

Attorney Docket No.: Q66643

00/501718

REQUEST OF EARLY NOTIFICATION OF SERIAL NUMBER
NATIONAL STAGE OF PCT/FR00/00916
FILED 04/11/2000

DT04 Rec'd PCT/PTO 06 MAY 2004

Inventor: Patrick CHOLLET

Title: DEVICE FOR TREATING A CONTAINER
WITH MICROWAVE PLASMA

Atty Doc. #: Q66643 Client: SIDEL

Filing Date: October 24, 2001 # Pgs. Spec/Abst: 11 #Claims: 13/1

Dwg. Sheets: 1 Decl No Prelim Amdt Yes

IDS/Prior Art: 1449 only Pr Doc: No Asgmt: No Fee: \$890

☒ Check Attached ☐ Charge to Deposit # 19-4880 Atty/Sec: PFN:lkg

SERIAL NO.:

CONF NO.:

09/959393
JC10 Rec'd PCT/PTO 24 OCT 2001



DT Rec'd PCT/PTO 06 MAY 2004

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q66643

Patrick CHOLLET

371 of PCT/FR00/00916

Appln. No.: 09/959,393

Group Art Unit: To be assigned

Confirmation No.: To be assigned

Examiner: To be assigned

Filed: October 24, 2001

For: **DEVICE FOR TREATING A CONTAINER WITH MICROWAVE PLASMA**

**PETITION FOR CORRECT SERIAL NUMBER AND
SERIES ASSIGNED**

**Attention: PCT Legal Staff/Legal Affairs Division
PCT Special Programs Office**

MAIL STOP PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Since the Applicant has not received any information regarding the status of the above identified application (see copy of Status Inquiry filed October 28, 2003), the undersigned checked the status of the application on the P.A.I.R. System, which indicated that the application has been patented. However, Applicant respectfully advises that no Office Actions to date have been received in the present National Stage application. The following is an explanation of events regarding this application to date.

A National Stage application of PCT/FR00/00916 was filed in the U.S. Patent and Trademark Office on October 24, 2001, along with the cover letter, a Form PTO-1449 listing the

PETITION FOR CORRECT SERIAL NUMBER AND
SERIES ASSIGNED

U.S. Patent Application No. 09/959,393
National Stage Entry of PCT/FR00/00916

references cited in the International Search Report (ISR), one (1) drawing sheet, a Preliminary Amendment and a Request for Early Notification of Serial Number postcard. Copies of all papers and transmittal documents are attached herewith, along with the date stamped filing receipt.

Please note that when the U.S.P.T.O. returned to Early Notification of Serial Number postcard to the undersigned, the U.S.P.T.O. had assigned this Application No. 09/959,393. A copy of the postcard is attached herewith.

Since the application was filed without the appropriate executed documents, and since the "Notification of Missing Requirements" had not been received, the undersigned submitted the Declaration and Power of Attorney and Assignment documents to the U.S.P.T.O. on January 7, 2002. Copies of the transmittal documents are attached for your convenience, along with a copy of the date stamped filing receipt.

On April 11, 2002, the undersigned received in his office the Notice of Recordation of Assignment document, which reflects U.S. Serial Number 09/959,393. A copy of the Notice is also attached.

As noted in P.A.I.R. (copy enclosed), although the undersigned's attorney Docket No. Q66643 is correctly listed and Mr. Patrick Chollet is correctly listed as the Inventor for U.S. Application No. 09/959,393, the title is not consistent with that of the undersigned's file. Also, the patent which issued from this application number, (US 6,648,251) shows the Assignee as

PETITION FOR CORRECT SERIAL NUMBER AND
SERIES ASSIGNED

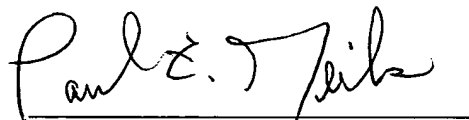
U.S. Patent Application No. 09/959,393
National Stage Entry of PCT/FR00/00916

Pfleiderer AG, and also shows the PCT Number as PCT/EP00/05612, which again is not consistent with the undersigned's file.

In view of the foregoing, Applicant respectfully requests that the matter be reviewed and that the undersigned be notified regarding the status. Moreover, Applicant respectfully requests that the Patent Office assign a correct serial number and series in the present National Stage Application of PCT/FR00/00916, since the identical serial number has also been assigned to U.S. Patent No. 6,648,251.

No Petition Fee is believed to be required. However, the USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



Paul F. Neils
Registration No. 33,102

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: May 6, 2004

Attorney Docket No.: Q66643

10/501718
DT04 Rec'd PCT/PTO 06 MAY 2004

REQUEST OF EARLY NOTIFICATION OF SERIAL NUMBER
NATIONAL STAGE OF PCT/FR00/00916
FILED 04/11/2000

Inventor: Patrick CHOLLET

Title: DEVICE FOR TREATING A CONTAINER
WITH MICROWAVE PLASMA

Atty Doc. #: Q66643 Client: SIDEL

Filing Date: October 24, 2001 # Pgs. Spec/Abst: 11 #Claims: 13/1

Dwg. Sheets: 1 Decl No Prelim Amdt Yes

IDS/Prior Art: 1449 only Pr Doc: No Asgmt: No Fee: \$890

☒ Check Attached ☐ Charge to Deposit # 19-4880 Atty/Sec: PFN:lkg

SERIAL NO.:

CONF NO.:



10/501718

DT04 Rec'd PGT/PTO 06 MAY 2004

The PTO did not receive the following
listed item(s) No Post card